



Site Classification

Proposed Residential Developments

Neilson Street, Edgeworth, NSW - Stage 10

Report Ref: G0651-SC-001-Rev0

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Client: Edgeworth Developments



29 August 2024

Prepared for

Edgeworth Developments

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Project Details

| | |
|----------------------|---|
| Site Address: | Neilson Street, Edgeworth, NSW - Stage 10 |
| Project Type: | Proposed Residential Development |

| Project no | Report type | Report no |
|------------|-------------|-----------|
| G0651 | SC | 001 |

Report Register

| Revision Number | Reported By | Reviewed By | Date |
|-----------------|-------------|-------------|------------|
| Rev0 | AO | MS | 29/08/2024 |

We confirm that the following report has been produced for Edgeworth Developments, based on the described methods and conditions within.

For and on behalf of Hunter Civilab,



Matt Smith

Senior Geotechnical Engineer

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- Annex C** Hunter Civilab Laboratory Test Report
- Annex D** CSIRO Sheet BTF 18 – Foundation Maintenance and Footing Performance: A Homeowner’s Guide

1 Introduction

This limited geotechnical investigation report has been prepared by Hunter Civilab (HCL) for Edgeworth Developments to provide Site Classifications for Neilson Street, Edgeworth, NSW - Stage 10 for the subdivision of proposed residential Lots 1001-1012.

The following report should be read in conjunction with the Level 1 supervision and testing report undertaken at the site (*HC report ref; P21481-L1R-001_Rev0, dated; 19/08/2024*).

1.1 Desktop Review

1.1.1 Geological & Soil Landscape Setting

Reference to the 1:250,000 Newcastle Geological Map indicates that the site is underlain by the Newcastle Coal Measures consisting of conglomerate, sandstone, tuff, shale, and coal.

Reference to the 1:100,000 Newcastle Soil Landscape Map indicates that the site is located within the Warners Bay Landscape. The Warners Bay soil landscape is characterized by undulating to rolling low hills and rises on fine-grained sediments of the Newcastle Coal Measures in the Awaba Hills. Local slopes are generally between 3-20% on local reliefs of 30 to 80m. Slopes are long and gentle, and crests and drainage lines are broad. The soil is known to consist of moderately deep to deep, imperfectly to poorly drained Gleyed Podzolic Soils (Dg2.41), moderately well-drained Yellow Podzolic Soils (Dy2.21) and yellow Soloths (Dy3.41, Dy2.41), with moderately deep, poorly drained Structured Loams (Um6.21) in drainage lines. The vegetation in the landscape is comprised predominantly of cleared tall open forest.

1.2 Mine Subsidence

Reference to Subsidence Advisory NSW Mine District Maps indicates that the site lies within the LAKE MACQUARIE Mine Subsidence District; therefore, the Subsidence Advisory NSW should be consulted during site planning as development guidelines may impose structural limitations.

2 Site Description

At the time of the investigation, the Lots at the site were undeveloped. Access to the proposed areas of development were unrestricted for the use of a Trailer Mounted Drill Rig to undertake the limited investigation. The site was comprised of exposed surface soil with no vegetation. Topographically each Lot at the site was flat and the global slope of the site was approximately 5-10° to down to the east.

3 Fieldwork

3.1 Fieldwork Methodology

Fieldwork was undertaken on the 8th, 9th and 12th of August 2024 and consisted of:

- a visual assessment of the existing surface of the site and surrounding area
- locating borehole locations by approximated measurements from existing site features
- the drilling of 12 x boreholes (BH1 - BH12) to depths of up to 3.0m using rotary methods
- the driving of 12 x Dynamic Cone Penetrometer (DCP) at BH locations (BH1 – BH12) to depths of up to 1.9m

Laboratory testing consisted of:

- 3 x Shrink Swell Index tests
- 9 x Atterberg Limits tests

3.2 Fieldwork Results

A summary of the subsurface soil conditions encountered during the limited geotechnical investigation can be found below in **Table 3.1** through to **Table 3.12** with the results of the Falling Weight Penetrometer tests found in **Annex B**.

Table 3.1 - Summary of borehole BH1 field logs

| Borehole: BH1 – Lot1001 | | Location: Refer to site plan |
|---|---|-------------------------------------|
| Fieldwork By: LB | | Date Logged: 8/08/2024 |
| | | Date Sampled: 8/08/2024 |
| Depth (m) | Material Description (soil type, colour, moisture) | Strength |
| 0.0 – 0.3 | FILL: Silty Sandy CLAY with SAST inclusions, pale orange / grey, greater than plastic limit | Firm |
| 0.3 - 1.6 | Extremely weathered SANDSTONE, pale grey, dry | Very Low Strength |
| Borehole BH1 refusal at 1.6m. | | |
| Sample obtained at a depth of 0.3m to 0.6m. | | |

Table 3.2 - Summary of borehole BH2 field logs

| Borehole: BH2 – Lot1002 | | Location: Refer to site plan |
|--|--|-------------------------------------|
| Logged By: LB | | Date Logged: 8/08/2024 |
| | | Date Sampled: 8/08/2024 |
| Depth (m) | Material Description (soil type, colour, moisture) | Strength |
| 0.0 - 0.4 | FILL: Silty CLAY with sandstone inclusions, pale orange / grey, greater than plastic limit | Stiff to Very Stiff |
| 0.4 - 0.8 | FILL: Silty CLAY with sand, trace sandstone inclusions, pale brown, greater than plastic limit | Very Stiff |
| 0.8 – 1.0 | FILL: GRAVEL, pale grey, wet | Dense |
| 1.0 - 1.2 | Extremely weathered SANDSTONE, pale grey, dry | Very Low Strength |
| Borehole BH2 refusal at 1.2m. Sample obtained at a depth of 0.1m to 0.4m. | | |

Table 3.3 - Summary of borehole BH3 field logs

| Borehole: BH3 – Lot1003 | | Location: Refer to site plan |
|--|--|-------------------------------------|
| Logged By: LB | | Date Logged: 8/08/2024 |
| | | Date Sampled: 8/08/2024 |
| Depth (m) | Material Description (soil type, colour, moisture) | Strength |
| 0.0 - 0.4 | FILL: Silty CLAY with sandstone inclusions, dark brown, greater than plastic limit | Firm to Stiff |
| 0.4 – 1.0 | Silty CLAY trace sand, pale grey, greater than plastic limit | Stiff to Very Stiff |
| 1.0 – 3.0 | Extremely weathered SANDSTONE, pale grey, dry | Very Low Strength |
| Borehole BH3 terminated at 3.0m. Sample obtained at a depth of 0.5m to 0.75m. | | |

Table 3.4 - Summary of borehole BH4 field logs

| Borehole: BH4 – Loth 1012 | | Location: Refer to site plan |
|--|--|-------------------------------------|
| Logged By: LB | | Date Logged: 8/08/2024 |
| | | Date Sampled: 8/08/2024 |
| Depth (m) | Material Description (soil type, colour, moisture) | Strength |
| 0.0 - 1.2 | FILL: Silty Sandy CLAY with gravel, pale orange / brown, equal to greater than plastic limit | Very Stiff |
| 1.2 - 3 | FILL: Silty Sandy CLAY, pale grey / orange, equal to greater than plastic limit | Very Stiff |
| Borehole BH3 terminated at 3.0m. Sample obtained at a depth of 0.4m to 0.65m. | | |

Table 3.5 - Summary of borehole BH5 field logs

| Borehole: BH5 – Lot 1011 | | Location: Refer to site plan |
|--|--|--|
| Logged By: LB | | Date Logged: 8/08/2024 Date Sampled: 8/08/2024 |
| Depth (m) | Material Description (soil type, colour, moisture) | Strength |
| 0.0 - 1.5 | FILL: Silty CLAY with sand, with gravel, pale brown / grey, greater than plastic limit | Very Stiff |
| 1.5 - 2.6 | Silty CLAY trace sand, pale orange / brown, greater than plastic limit | Very Stiff |
| 2.6 – 3.0 | Silty Sandy CLAY, pale grey, less than plastic limit | Hard |
| Borehole BH3 terminated at 3.0m. Sample obtained at a depth of 0.3m to 0.55m. | | |

Table 3.6 - Summary of borehole BH6 field logs

| Borehole: BH6 – Lot 1010 | | Location: Refer to site plan |
|--|--|--|
| Logged By: LB | | Date Logged: 8/08/2024 Date Sampled: 8/08/2024 |
| Depth (m) | Material Description (soil type, colour, moisture) | Strength |
| 0.0 – 0.9 | FILL: Silty CLAY, trace sand, with sandstone inclusions, dark brown, greater than plastic limit | Firm to Stiff |
| 0.9 – 3.0 | FILL: Silty Sandy CLAY trace gravel, with sandstone inclusions, pale brown / grey, equal to greater than plastic limit | Very Stiff |
| Borehole BH3 terminated at 3.0m. Sample obtained at a depth of 0.5m to 0.75m. | | |

Table 3.7 - Summary of borehole BH7 field logs

| Borehole: BH7 – Lot1009 | | Location: Refer to site plan |
|--|---|--|
| Logged By: LB | | Date Logged: 9/08/2024 Date Sampled: 9/08/2024 |
| Depth (m) | Material Description (soil type, colour, moisture) | Strength |
| 0.0 - 0.3 | FILL: Silty CLAY with sandstone inclusions, with sand, pale brown, greater than plastic limit | Stiff to Very Stiff |
| 0.3 - 0.7 | FILL: Silty Sandy CLAY with sandstone inclusions, pale brown, greater than plastic limit | Stiff to Very Stiff |
| 0.7 – 3.0 | FILL: Silty Sandy CLAY trace gravel, pale grey / brown, equal to greater than plastic limit | Hard |
| Borehole BH3 terminated at 3.0m. Sample obtained at a depth of 0.4m to 0.65m. | | |

Table 3.8 - Summary of borehole BH8 field logs

| Borehole: BH8 – Lot1008 | | Location: Refer to site plan |
|--|--|-------------------------------------|
| Logged By: LB | | Date Logged: 9/08/2024 |
| | | Date Sampled: 9/08/2024 |
| Depth (m) | Material Description (soil type, colour, moisture) | Strength |
| 0.0 - 1.2 | FILL: Silty CLAY with sandstone inclusions, pale brown, greater than plastic limit | Very Stiff |
| 1.2 – 2.0 | Silty CLAY trace sand, pale orange yellow, greater than plastic limit | Very Stiff |
| 2.0 – 3.0 | Silty Sandy CLAY, pale orange - grey, less than plastic limit | Hard |
| Borehole BH3 terminated at 3.0m. Sample obtained at a depth of 0.2m to 0.45m. | | |

Table 3.9 - Summary of borehole BH9 field logs

| Borehole: BH9 – Lot1007 | | Location: Refer to site plan |
|--|--|-------------------------------------|
| Logged By: LB | | Date Logged: 9/08/2024 |
| | | Date Sampled: 9/08/2024 |
| Depth (m) | Material Description (soil type, colour, moisture) | Strength |
| 0.0 - 1.4 | FILL: Silty CLAY with sandstone inclusions, trace sand, pale brown, greater than plastic limit | Very Stiff |
| 1.4 – 2.0 | Silty CLAY trace sand, pale orange / yellow, greater than plastic limit | Very Stiff |
| 2.0 – 3.0 | Silty Sandy CLAY, pale grey / orange, less than plastic limit | Hard |
| Borehole BH3 terminated at 3.0m. Sample obtained at a depth of 0.5m to 0.75m. | | |

Table 3.10 - Summary of borehole BH10 field logs

| Borehole: BH10 – Lot1004 | | Location: Refer to site plan |
|---|--|-------------------------------------|
| Logged By: LB | | Date Logged: 12/08/2024 |
| | | Date Sampled: 12/08/2024 |
| Depth (m) | Material Description (soil type, colour, moisture) | Strength |
| 0.0 - 0.6 | FILL: Silty CLAY with sandstone inclusions, pale brown, greater than plastic limit | Firm to Stiff |
| 0.6 - 1.2 | Silty CLAY t sand, pale orange - brown, greater than plastic limit | Very Stiff |
| 1.2 – 3.0 | Extremely weathered SANDSTONE, pale grey, dry to moist | Inf Very Low Strength |
| Borehole BH3 terminated at 3.0m. Sample obtained at a depth of 0.8m to 1.0m. | | |

Table 3.11 - Summary of borehole BH11 field logs

| Borehole: BH11 – Lot1005 | | Location: Refer to site plan |
|--|---|-------------------------------------|
| Logged By: LB | | Date Logged: 12/08/2024 |
| | | Date Sampled: 12/08/2024 |
| Depth (m) | Material Description (soil type, colour, moisture) | Strength |
| 0.0 – 1.0 | FILL: Silty CLAY with sandstone inclusions, pale brown / grey, greater than plastic limit | Stiff to Very Stiff |
| 1.0 – 2.0 | Silty CLAY with sand, with sandstone inclusions, pale orange / grey / brown, greater than plastic limit | Very Stiff |
| 2.0 - 2.6 | Silty CLAY trace sand, dark brown, greater than plastic limit | Very Stiff |
| 2.6 – 3.0 | Silty Sandy CLAY, pale grey, greater than plastic limit | Hard |
| Borehole BH3 terminated at 3.0m. Sample obtained at a depth of 1.0m to 1.25m. | | |

Table 3.12 - Summary of borehole BH12 field logs

| Borehole: BH12 – Lot1006 | | Location: Refer to site plan |
|--|---|-------------------------------------|
| Logged By: LB | | Date Logged: 12/08/2024 |
| | | Date Sampled: 12/08/2024 |
| Depth (m) | Material Description (soil type, colour, moisture) | Strength |
| 0.0 – 1.0 | FILL: Silty CLAY with sandstone inclusions, pale brown / grey, greater than plastic limit | Stiff to Very Stiff |
| 1.0 – 2.0 | Silty CLAY with sand, with sandstone inclusions, pale orange / grey / brown, greater than plastic limit | Very Stiff |
| 2.0 - 2.6 | Silty CLAY trace sand, dark brown, greater than plastic limit | Very Stiff |
| 2.6 – 3.0 | Silty Sandy CLAY, pale grey, greater than plastic limit | Hard |
| Borehole BH3 terminated at 3.0m. Sample obtained at a depth of 1.0m to 1.25m. | | |

Refer to **Annex A** for the borehole location plan.

4 Laboratory Results

3 x undisturbed and 9 x disturbed samples were recovered from the boreholes and transported to the HCL's NATA accredited soil testing laboratory for analysis. The results of the laboratory tests are summarised in **Table 4.1** and **Table 4.2** below.

Table 4.1 - Shrink-Swell Index Test Results

| Borehole | Depth (m) | Material Description | ISS (%) |
|----------|------------|----------------------|---------|
| BH5 | 0.3 – 0.55 | Silty CLAY | 0.7 |
| BH8 | 0.2 – 0.45 | Silty CLAY | 0.9 |
| BH11 | 1.0 – 1.25 | Silty CLAY | 2.7 |

Table 4.2 - Atterberg Limit Test Results

| Borehole | Depth (m) | Soil description | Plasticity Index (%) | Linear Shrinkage (%) |
|----------|-----------|-------------------------------|----------------------|----------------------|
| BH1 | 0.3-0.6 | Extremely weathered SANDSTONE | 22.0 | 11.0 |
| BH2 | 0.1-0.4 | Silty CLAY | 28.0 | 11.5 |
| BH3 | 0.5-0.75 | Silty CLAY | 34.0 | 10.0 |
| BH4 | 0.4-0.65 | Silty Sandy CLAY | 15.0 | 7.5 |
| BH6 | 0.5-0.75 | Silty CLAY | 19.0 | 7.5 |
| BH7 | 0.4-0.65 | Silty Sandy CLAY | 13.0 | 4.5 |
| BH9 | 0.5-0.75 | Silty CLAY | 29.0 | 11.0 |
| BH10 | 0.8-1.0 | Silty CLAY | 46.0 | 15.0 |
| BH12 | 0.5-0.75 | Silty CLAY | 29.0 | 6.5 |

Laboratory test results from the soil sample can be found in **Annex C**.

5 Site Classification

The site was assessed by a suitably qualified Geotechnical Engineer to determine the Site Classification in accordance with AS2870-2011 “Residential Slabs & Footings”. The Site Classification provides an indication of the characteristic surface movement due to shrink-swell properties of the underlying soils and ground moisture variations. Filling on the site was determined to be controlled (*Ref; P21481-L1R-001_Rev0, dated; 19/08/2024*) and placed within the last 5 years, due to the controlled nature of the fill encountered at the site, based on engineering principles the site was classified as below.

The Site Classification provided below in **Table 5.1** is based on review of the subsurface profile and laboratory testing as well as an assessment of the site at the time of the investigation including depths of cut and fill.

Table 5.1 - Site Classification to AS2870:2011 – Residential Slabs and Footings

| Lot ID | Borehole | Hs Depth (m) | Depth of Fill (m) | Predicted Surface Movement (mm) | Site Classification |
|--------|----------|--------------|-------------------|---------------------------------|---------------------|
| 1001 | BH1 | 1.8 | 0.3 | 20 – 40 | Class M |
| 1002 | BH2 | 1.8 | 1.0 | 40 – 60 | Class H1 |
| 1003 | BH3 | 1.8 | 0.4 | 40 – 60 | Class H1 |
| 1004 | BH10 | 1.8 | 0.6 | 60 – 75 | Class H2 |
| 1005 | BH11 | 1.8 | 1.0 | 40 – 60 | Class H1 |
| 1006 | BH12 | 1.8 | 1.0 | 40 – 60 | Class H1 |
| 1007 | BH9 | 1.8 | 1.4 | 20 – 40 | Class M |
| 1008 | BH8 | 1.8 | 1.2 | 20 – 40 | Class M |
| 1009 | BH7 | 1.8 | 3.0 | 40 – 60 | Class H1 |
| 1010 | BH6 | 1.8 | 3.0 | 40 – 60 | Class H1 |
| 1011 | BH5 | 1.8 | 1.5 | 20 – 40 | Class M |
| 1012 | BH4 | 1.8 | 3.0 | 40 – 60 | Class H1 |

It should be noted that in the event of placement of additional fill or cut into the existing profile, a more severe characteristic surface movement would apply.

Classification of the site has not taken into account the effects of abnormal moisture conditions. If the site undergoes any earthworks operations, the site shall be reclassified in accordance with AS2870-2011.

5.1 Abnormal Moisture Effects

Abnormal moisture conditions in the foundation can be caused by the following:

- existing development
- leaking water services
- prolonged periods of draught or heavy rainfall
- trenches or other man-made water courses
- poor roof plumbing or obstruction to the roof plumbing system
- poor rainfall runoff control
- corroded gutters or downpipes

Abnormal moisture conditions specified above can cause adverse effects to the development's foundation such as:

- erosion significantly effecting the lateral and founding support of the structure's footing system
- saturation of the founding material which can cause a significant decrease in the strength of the founding material
- shrinkage creating subsidence of the founding material and causing additional stresses within the building structure
- swelling which creates an upward force in the footings which causes additional stresses within the building structure

5.2 Effects from Trees

The existence of trees within or adjacent to the building footprint can cause significant soil movement due to the following:

- roots growing within the foundation and causing an upward force on footings
- roots drawing in and absorbing the moisture below a footing system causing subsidence due to shrinkage of the soil volume

The site should take into account the tree score effect in accordance with and designed to AS2870-2011. The site was found to have a "Moderate" tree score effect and has been taken into consideration.

5.3 Ongoing Footing Maintenance

Foundations including effective site drainage are required to be maintained over the life of the development to ensure footing performance. Refer to **Annex D** for the following:

- BTF 18-2011- CSIRO - Foundation Maintenance and Footing Performance – A Homeowner's Guide

6 Report Limitations

This report has been prepared by HCL for the specific site and purposes described within this report. HCL will accept no responsibility or liability for the use of this report by any third party, without the express consent of HCL or the Client, or for use at any other site or purpose than that described in this report.

This report and the services provided have been completed in accordance with relevant professional and industry standards of interpretation and analysis. This report must be read in its entirety without separation of pages or sections and without any alterations, other than those provided by HCL.

The classifications provided within this report are subject to the specific conditions encountered and the limited geotechnical data gathered at the site during the time of the current investigation, as such, all classifications provided in this report should be confirmed by a suitably qualified builder, Engineering Geologist or Engineer prior to commencing construction. If the site undergoes any earthworks, which vary the subsurface conditions from those outlined in this report, the site must be reassessed by a suitably qualified Engineering Geologist or Geotechnical Engineer.

The subsurface conditions and results presented in this report are indicative of the conditions encountered at the discrete sampling and testing locations within the site at the time of the investigation and within the depths investigated. Variations in ground conditions may exist between the locations that were investigated, and the subsurface profile cannot be inferred or extrapolated from the limited investigation conducted by HCL. For this reason, the report must be regarded as interpretative, rather than a factual document.

Subsurface conditions are subject to constant change and can vary abruptly as a result of human influences and/or natural geological and/or climatic processes and events. Conditions may exist at the site that could not be identified during or may develop after the current investigation has been conducted and as such, may impact the accuracy of this report. HCL should be contacted for further consultation and site re-assessment should subsurface conditions differ from those conditions identified in this report.

This report, or sections from this report, should not be used as part of a specification for a project, without review and agreement by HCL.

Safety in design assessment is outside the current scope of work of this report. HCL has had limited involvement in any design that relies upon the geotechnical advice contained in this report. HCL cannot be held liable for any loss of life or property damage arising from any hazards that arise from the geotechnical advice.

HCL recommends geotechnical reports older than 5 years from the date shown on the report, reports submitted for a previous (unrelated) development application on the site, or sites that have been altered by earthworks be reviewed by a qualified geotechnical consultant to confirm that the scope of the investigation undertaken for the report and the contents of the report are appropriate for the current development being proposed.

We are pleased to present this report and trust that the recommendations provided are sufficient for your present requirements. If you have any further questions about this report, please contact the undersigned.

For and on behalf of

Valley Civilab Pty Ltd, trading as Hunter Civilab

Reported by:



Alex O'Loughlan

Undergraduate Geotechnical Engineer
Bachelor of Engineering (Civil)

Reviewed by:



Matthew Smith

Senior Geotechnical Engineer
Bachelor of Engineering (Civil)

References

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

Annex A



| | | | |
|------------------|--|-------------------|------------|
| CLIENT: | Edgeworth Developments | JOB NO: | G0651 |
| PROJECT: | Proposed Residential Developments | DRAWN BY: | AO |
| LOCATION: | Neilson Street, Edgeworth, NSW - Stage 10 - Lots 1001-1012 | DATE: | 29/08/2024 |
| TITLE: | Test Location Plan | SCALE: | 1:450 |
| | | FIGURE NO: | Figure 1 |

| | |
|------------------|----|
| SIZE: | A3 |
| REVISION: | 0 |

LEGEND

-  Borehole locations
- Site Classifications
 -  Class M
 -  Class H1
 -  Class H2



Annex B

Falling Weight Penetrometer Results



| Depth (m) | BH01 | BH02 | BH03 | BH04 |
|-----------|-------------------|-------------------|-------------------|-------------------|
| | Blows | Blows | Blows | Blows |
| 0.0–0.1 | 2 | 3 | 1 | 1 |
| 0.1–0.2 | 3 | 3 | 1 | 5 |
| 0.2–0.3 | 5 | 4 | 2 | 3 |
| 0.3–0.4 | 8 | 5 | 4 | 3 |
| 0.4–0.5 | 14 | 4 | 5 | 4 |
| 0.5–0.6 | Terminated | 4 | 5 | 6 |
| 0.6–0.7 | | 4 | 5 | 6 |
| 0.7–0.8 | | 6 | 7 | 6 |
| 0.8–0.9 | | 9 | 10 | 7 |
| 0.9–1.0 | | 16 | 10 | 9 |
| 1.0–1.1 | | Terminated | 12 | 9 |
| 1.1–1.2 | | | Terminated | 9 |
| 1.2–1.3 | | | | 11 |
| 1.3–1.4 | | | | 11 |
| 1.4–1.5 | | | | Terminated |

Falling Weight Penetrometer Results



| Depth (m) | BH05 | BH06 | BH07 | BH08 |
|-----------|-------------------|-------------------|-------------------|-------------------|
| | Blows | Blows | Blows | Blows |
| 0.0–0.1 | 2 | 4 | 2 | 2 |
| 0.1–0.2 | 3 | 2 | 2 | 5 |
| 0.2–0.3 | 3 | 5 | 3 | 2 |
| 0.3–0.4 | 3 | 2 | 4 | 3 |
| 0.4–0.5 | 5 | 2 | 5 | 4 |
| 0.5–0.6 | 6 | 1 | 5 | 5 |
| 0.6–0.7 | 9 | 2 | 7 | 6 |
| 0.7–0.8 | 12 | 4 | 9 | 8 |
| 0.8–0.9 | Terminated | 6 | 9 | 7 |
| 0.9–1.0 | | 8 | 10 | 9 |
| 1.0–1.1 | | 9 | Terminated | 9 |
| 1.1–1.2 | | 15 | | 5 |
| 1.2–1.3 | | Terminated | | 5 |
| 1.3–1.4 | | | | 6 |
| 1.4–1.5 | | | | 6 |
| 1.5–1.6 | | | | 7 |
| 1.6–1.7 | | | | 9 |
| 1.7–1.8 | | | | 10 |
| 1.8–1.9 | | | | 12 |
| 1.9–2.0 | | | | Terminated |

Falling Weight Penetrometer Results



| Depth (m) | BH09 | BH010 | BH011 | BH012 |
|-----------|-------------------|-------------------|-------------------|-------------------|
| | Blows | Blows | Blows | Blows |
| 0.0–0.1 | 2 | 1 | 1 | 2 |
| 0.1–0.2 | 5 | 1 | 3 | 2 |
| 0.2–0.3 | 4 | 3 | 3 | 4 |
| 0.3–0.4 | 3 | 5 | 4 | 6 |
| 0.4–0.5 | 5 | 6 | 5 | 6 |
| 0.5–0.6 | 9 | 6 | 8 | 7 |
| 0.6–0.7 | 10 | 7 | 6 | 7 |
| 0.7–0.8 | 12 | 9 | 9 | 9 |
| 0.8–0.9 | Terminated | 10 | 9 | 10 |
| 0.9–1.0 | | 12 | 10 | 12 |
| 1.0–1.1 | | Terminated | 11 | Terminated |
| 1.1–1.2 | | | 12 | |
| 1.2–1.3 | | | Terminated | |



Annex C

Material Test Report



Report Number: P24967-93
Issue Number: 2 - This version supersedes all previous issues
Reissue Reason: BH no correction
Date Issued: 29/08/2024
Client: Hunter Civilab
 3/62 Sandringham Avenue, Thornton New South Wales 2322
Contact: Nathan Roberts
Project Number: P24967
Project Name: Geotechnical Consulting Services (THO)
Project Location: Neilson Street, Edgeworth, NSW - Stage 10 - Lots 1001-1012
Client Reference: G0651
Work Request: 16157
Sample Number: 24-16157A
Date Sampled: 12/08/2024
Dates Tested: 14/08/2024 - 19/08/2024
Sampling Method: Sampled by Engineering Department
The results apply to the sample as received
Site Selection: Selected by Client
Sample Location: BH5 Lot 1011, Depth: 0.3-0.55m

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 62 Sandringham Avenue Thornton NSW 2322
 Phone: (02) 4966 1844
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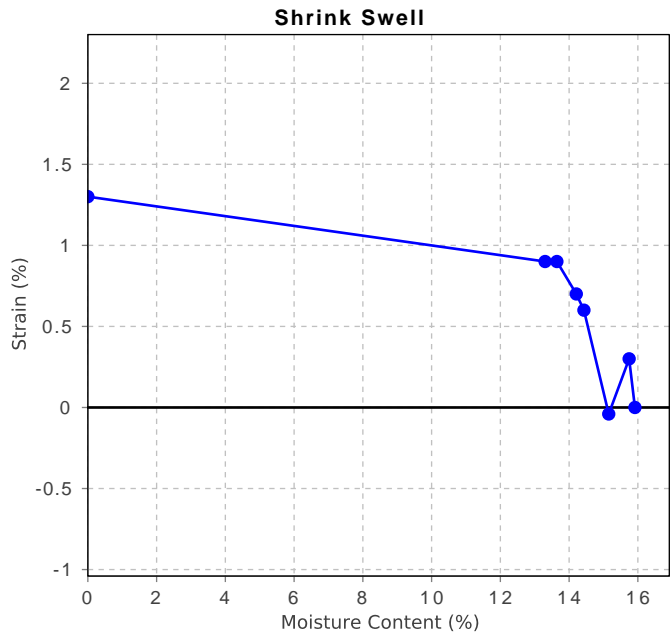
Approved Signatory: Scott Picton
Technician

NATA Accredited Laboratory Number: 14975

| Shrink Swell Index (AS 1289 7.1.1 & 2.1.1) | |
|--|-----------------------------|
| Iss (%) | 0.7 |
| Visual Description | Sandy clay trace rock brown |
| * Shrink Swell Index (Iss) reported as the percentage vertical strain per pF change in suction. | |
| Variation to the test method: Readings between some shrink & swell measurements exceed 12 hours. | |

| Core Shrinkage Test | |
|---|-----------|
| Shrinkage Strain - Oven Dried (%) | 1.3 |
| Estimated % by volume of significant inert inclusions | 2 |
| Cracking | Uncracked |
| Crumbling | No |
| Moisture Content (%) | 15.9 |

| Swell Test | |
|--|-------|
| Initial Pocket Penetrometer (kPa) | 1100+ |
| Final Pocket Penetrometer (kPa) | 1100+ |
| Initial Moisture Content (%) | 12.8 |
| Final Moisture Content (%) | 15.2 |
| Swell (%) | 0.0 |
| * NATA Accreditation does not cover the performance of pocket penetrometer readings. | |



Material Test Report



Report Number: P24967-93
Issue Number: 2 - This version supersedes all previous issues
Reissue Reason: BH no correction
Date Issued: 29/08/2024
Client: Hunter Civilab
 3/62 Sandringham Avenue, Thornton New South Wales 2322
Contact: Nathan Roberts
Project Number: P24967
Project Name: Geotechnical Consulting Services (THO)
Project Location: Neilson Street, Edgeworth, NSW - Stage 10 - Lots 1001-1012
Client Reference: G0651
Work Request: 16157
Sample Number: 24-16157B
Date Sampled: 12/08/2024
Dates Tested: 14/08/2024 - 19/08/2024
Sampling Method: Sampled by Engineering Department
The results apply to the sample as received
Site Selection: Selected by Client
Sample Location: BH8Lot 1008, Depth: 0.2-0.45m

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NATA Accredited Laboratory Number: 14975

Shrink Swell Index (AS 1289 7.1.1 & 2.1.1)

| | |
|--|------------------|
| Iss (%) | 0.9 |
| Visual Description | SILTY Clay brown |
| * Shrink Swell Index (Iss) reported as the percentage vertical strain per pF change in suction. | |
| Variation to the test method: Readings between some shrink & swell measurements exceed 12 hours. | |

Core Shrinkage Test

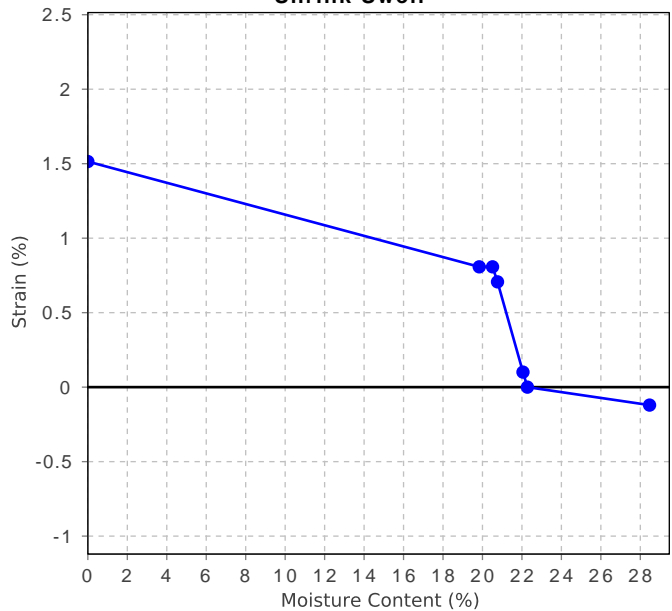
| | |
|---|------------|
| Shrinkage Strain - Oven Dried (%) | 1.5 |
| Estimated % by volume of significant inert inclusions | 0 |
| Cracking | Uncracked |
| Crumbling | No |
| Moisture Content (%) | 22.3 |

Swell Test

| | |
|-----------------------------------|------------|
| Initial Pocket Penetrometer (kPa) | 600 |
| Final Pocket Penetrometer (kPa) | 400 |
| Initial Moisture Content (%) | 22.2 |
| Final Moisture Content (%) | 28.5 |
| Swell (%) | 0.1 |

* NATA Accreditation does not cover the performance of pocket penetrometer readings.

Shrink Swell



Material Test Report



Report Number: P24967-93
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Reissue Reason: BH no correction
Date Issued: 29/08/2024
Client: Hunter Civilab
 3/62 Sandringham Avenue, Thornton New South Wales 2322
Contact: Nathan Roberts
Project Number: P24967
Project Name: Geotechnical Consulting Services (THO)
Project Location: Neilson Street, Edgeworth, NSW - Stage 10 - Lots 1001-1012
Client Reference: G0651
Work Request: 16157
Sample Number: 24-16157C
Date Sampled: 12/08/2024
Dates Tested: 14/08/2024 - 19/08/2024
Sampling Method: Sampled by Engineering Department
The results apply to the sample as received
Site Selection: Selected by Client
Sample Location: BH11 Lot 1005, Depth: 1.0-1.25m

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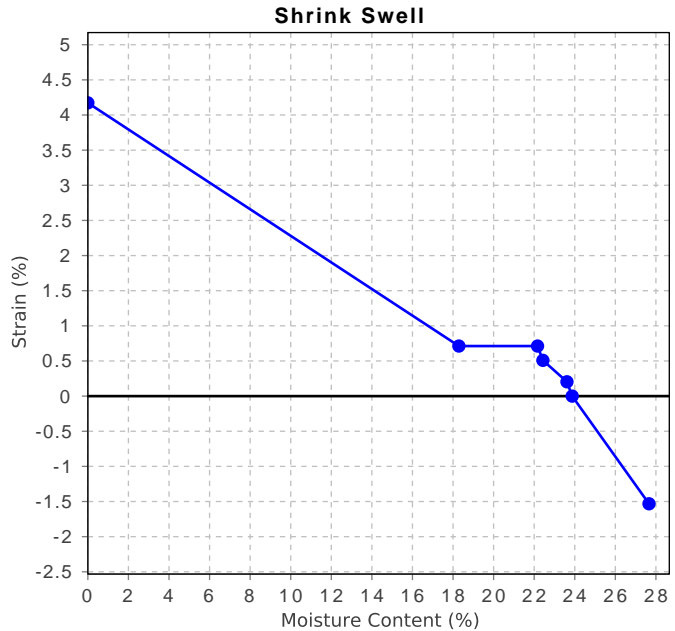
Approved Signatory: Scott Picton
Technician

NATA Accredited Laboratory Number: 14975

| Shrink Swell Index (AS 1289 7.1.1 & 2.1.1) | |
|--|-----------------|
| Iss (%) | 2.7 |
| Visual Description | Silty clay grey |
| * Shrink Swell Index (Iss) reported as the percentage vertical strain per pF change in suction. | |
| Variation to the test method: Readings between some shrink & swell measurements exceed 12 hours. | |

| Core Shrinkage Test | |
|---|-----------|
| Shrinkage Strain - Oven Dried (%) | 4.2 |
| Estimated % by volume of significant inert inclusions | 0 |
| Cracking | Uncracked |
| Crumbling | No |
| Moisture Content (%) | 23.9 |

| Swell Test | |
|--|------|
| Initial Pocket Penetrometer (kPa) | 500 |
| Final Pocket Penetrometer (kPa) | 400 |
| Initial Moisture Content (%) | 22.5 |
| Final Moisture Content (%) | 27.7 |
| Swell (%) | 1.5 |
| * NATA Accreditation does not cover the performance of pocket penetrometer readings. | |



Material Test Report

Report Number: P24967-93
Issue Number: 2 - This version supersedes all previous issues
Reissue Reason: BH no correction
Date Issued: 29/08/2024
Client: Hunter Civilab
 3/62 Sandringham Avenue, Thornton New South Wales 2322
Contact: Nathan Roberts
Project Number: P24967
Project Name: Geotechnical Consulting Services (THO)
Project Location: Neilson Street, Edgeworth, NSW - Stage 10 - Lots 1001-1012
Client Reference: G0651
Work Request: 16157
Sample Number: 24-16157D
Date Sampled: 12/08/2024
Dates Tested: 14/08/2024 - 20/08/2024
Sampling Method: Sampled by Engineering Department
The results apply to the sample as received
Site Selection: Selected by Client
Sample Location: BH1 Lot 1001, Depth: 0.3-0.6m



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Approved Signatory: Scott Picton
Technician

NATA Accredited Laboratory Number: 14975

| Atterberg Limit (AS1289 3.1.2 & 3.2.1 & 3.3.1) | | Min | Max |
|--|------------|-----|-----|
| Sample History | Oven Dried | | |
| Preparation Method | Dry Sieve | | |
| Liquid Limit (%) | 48 | | |
| Plastic Limit (%) | 26 | | |
| Plasticity Index (%) | 22 | | |

| Linear Shrinkage (AS1289 3.4.1) | | Min | Max |
|----------------------------------|---------------|-----|-----|
| Moisture Condition Determined By | AS 1289.3.1.2 | | |
| Linear Shrinkage (%) | 11.0 | | |
| Cracking Crumbling Curling | Cracking | | |

Material Test Report



Report Number: P24967-93
Issue Number: 2 - This version supersedes all previous issues
Reissue Reason: BH no correction
Date Issued: 29/08/2024
Client: Hunter Civilab
 3/62 Sandringham Avenue, Thornton New South Wales 2322
Contact: Nathan Roberts
Project Number: P24967
Project Name: Geotechnical Consulting Services (THO)
Project Location: Neilson Street, Edgeworth, NSW - Stage 10 - Lots 1001-1012
Client Reference: G0651
Work Request: 16157
Sample Number: 24-16157E
Date Sampled: 12/08/2024
Dates Tested: 14/08/2024 - 20/08/2024
Sampling Method: Sampled by Engineering Department
The results apply to the sample as received
Site Selection: Selected by Client
Sample Location: BH2 Lot 1002, Depth: 0.1-0.4m

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 Technician

NATA Accredited Laboratory Number: 14975

| Atterberg Limit (AS1289 3.1.2 & 3.2.1 & 3.3.1) | | Min | Max |
|--|------------|-----|-----|
| Sample History | Oven Dried | | |
| Preparation Method | Dry Sieve | | |
| Liquid Limit (%) | 54 | | |
| Plastic Limit (%) | 26 | | |
| Plasticity Index (%) | 28 | | |

| Linear Shrinkage (AS1289 3.4.1) | | Min | Max |
|----------------------------------|---------------|-----|-----|
| Moisture Condition Determined By | AS 1289.3.1.2 | | |
| Linear Shrinkage (%) | 11.5 | | |
| Cracking Crumbling Curling | Cracking | | |

Material Test Report

Report Number: P24967-93
Issue Number: 2 - This version supersedes all previous issues
Reissue Reason: BH no correction
Date Issued: 29/08/2024
Client: Hunter Civilab
 3/62 Sandringham Avenue, Thornton New South Wales 2322
Contact: Nathan Roberts
Project Number: P24967
Project Name: Geotechnical Consulting Services (THO)
Project Location: Neilson Street, Edgeworth, NSW - Stage 10 - Lots 1001-1012
Client Reference: G0651
Work Request: 16157
Sample Number: 24-16157F
Date Sampled: 12/08/2024
Dates Tested: 14/08/2024 - 20/08/2024
Sampling Method: Sampled by Engineering Department
The results apply to the sample as received
Site Selection: Selected by Client
Sample Location: BH3 Lot 1003, Depth: 0.5-0.75m



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 Technician

NATA Accredited Laboratory Number: 14975

| Atterberg Limit (AS1289 3.1.2 & 3.2.1 & 3.3.1) | | Min | Max |
|--|------------|-----|-----|
| Sample History | Oven Dried | | |
| Preparation Method | Dry Sieve | | |
| Liquid Limit (%) | 68 | | |
| Plastic Limit (%) | 34 | | |
| Plasticity Index (%) | 34 | | |

| Linear Shrinkage (AS1289 3.4.1) | | Min | Max |
|----------------------------------|---------------|-----|-----|
| Moisture Condition Determined By | AS 1289.3.1.2 | | |
| Linear Shrinkage (%) | 10.0 | | |
| Cracking Crumbling Curling | Cracking | | |

Material Test Report



Report Number: P24967-93
Issue Number: 2 - This version supersedes all previous issues
Reissue Reason: BH no correction
Date Issued: 29/08/2024
Client: Hunter Civilab
 3/62 Sandringham Avenue, Thornton New South Wales 2322
Contact: Nathan Roberts
Project Number: P24967
Project Name: Geotechnical Consulting Services (THO)
Project Location: Neilson Street, Edgeworth, NSW - Stage 10 - Lots 1001-1012
Client Reference: G0651
Work Request: 16157
Sample Number: 24-16157G
Date Sampled: 12/08/2024
Dates Tested: 14/08/2024 - 20/08/2024
Sampling Method: Sampled by Engineering Department
The results apply to the sample as received
Site Selection: Selected by Client
Sample Location: BH4 Lot 1012, Depth: 0.4-0.65m

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 Technician

NATA Accredited Laboratory Number: 14975

| Atterberg Limit (AS1289 3.1.2 & 3.2.1 & 3.3.1) | | Min | Max |
|--|------------|-----|-----|
| Sample History | Oven Dried | | |
| Preparation Method | Dry Sieve | | |
| Liquid Limit (%) | 37 | | |
| Plastic Limit (%) | 22 | | |
| Plasticity Index (%) | 15 | | |

| Linear Shrinkage (AS1289 3.4.1) | | Min | Max |
|----------------------------------|---------------|-----|-----|
| Moisture Condition Determined By | AS 1289.3.1.2 | | |
| Linear Shrinkage (%) | 7.5 | | |
| Cracking Crumbling Curling | Cracking | | |

Material Test Report



Report Number: P24967-93
Issue Number: 2 - This version supersedes all previous issues
Reissue Reason: BH no correction
Date Issued: 29/08/2024
Client: Hunter Civilab
 3/62 Sandringham Avenue, Thornton New South Wales 2322
Contact: Nathan Roberts
Project Number: P24967
Project Name: Geotechnical Consulting Services (THO)
Project Location: Neilson Street, Edgeworth, NSW - Stage 10 - Lots 1001-1012
Client Reference: G0651
Work Request: 16157
Sample Number: 24-16157H
Date Sampled: 12/08/2024
Dates Tested: 14/08/2024 - 20/08/2024
Sampling Method: Sampled by Engineering Department
The results apply to the sample as received
Site Selection: Selected by Client
Sample Location: BH6 Lot 1010, Depth: 0.5-0.75m

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Technician

NATA Accredited Laboratory Number: 14975

| Atterberg Limit (AS1289 3.1.2 & 3.2.1 & 3.3.1) | | Min | Max |
|--|------------|-----|-----|
| Sample History | Oven Dried | | |
| Preparation Method | Dry Sieve | | |
| Liquid Limit (%) | 39 | | |
| Plastic Limit (%) | 20 | | |
| Plasticity Index (%) | 19 | | |

| Linear Shrinkage (AS1289 3.4.1) | | Min | Max |
|----------------------------------|---------------|-----|-----|
| Moisture Condition Determined By | AS 1289.3.1.2 | | |
| Linear Shrinkage (%) | 7.5 | | |
| Cracking Crumbling Curling | Cracking | | |

Material Test Report

Report Number: P24967-93
Issue Number: 2 - This version supersedes all previous issues
Reissue Reason: BH no correction
Date Issued: 29/08/2024
Client: Hunter Civilab
 3/62 Sandringham Avenue, Thornton New South Wales 2322
Contact: Nathan Roberts
Project Number: P24967
Project Name: Geotechnical Consulting Services (THO)
Project Location: Neilson Street, Edgeworth, NSW - Stage 10 - Lots 1001-1012
Client Reference: G0651
Work Request: 16157
Sample Number: 24-161571
Date Sampled: 12/08/2024
Dates Tested: 14/08/2024 - 20/08/2024
Sampling Method: Sampled by Engineering Department
The results apply to the sample as received
Site Selection: Selected by Client
Sample Location: BH7 Lot 1009, Depth: 0.4-0.65m



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Technician

NATA Accredited Laboratory Number: 14975

| Atterberg Limit (AS1289 3.1.2 & 3.2.1 & 3.3.1) | | Min | Max |
|--|------------|-----|-----|
| Sample History | Oven Dried | | |
| Preparation Method | Dry Sieve | | |
| Liquid Limit (%) | 36 | | |
| Plastic Limit (%) | 23 | | |
| Plasticity Index (%) | 13 | | |

| Linear Shrinkage (AS1289 3.4.1) | | Min | Max |
|----------------------------------|---------------|-----|-----|
| Moisture Condition Determined By | AS 1289.3.1.2 | | |
| Linear Shrinkage (%) | 4.5 | | |
| Cracking Crumbling Curling | Cracking | | |

Material Test Report

Report Number: P24967-93
Issue Number: 2 - This version supersedes all previous issues
Reissue Reason: BH no correction
Date Issued: 29/08/2024
Client: Hunter Civilab
 3/62 Sandringham Avenue, Thornton New South Wales 2322
Contact: Nathan Roberts
Project Number: P24967
Project Name: Geotechnical Consulting Services (THO)
Project Location: Neilson Street, Edgeworth, NSW - Stage 10 - Lots 1001-1012
Client Reference: G0651
Work Request: 16157
Sample Number: 24-16157J
Date Sampled: 12/08/2024
Dates Tested: 14/08/2024 - 20/08/2024
Sampling Method: Sampled by Engineering Department
The results apply to the sample as received
Site Selection: Selected by Client
Sample Location: BH9 Lot 1007, Depth: 0.5-0.75m



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Approved Signatory: Scott Picton
 Technician

NATA Accredited Laboratory Number: 14975

| Atterberg Limit (AS1289 3.1.2 & 3.2.1 & 3.3.1) | | Min | Max |
|--|------------|-----|-----|
| Sample History | Oven Dried | | |
| Preparation Method | Dry Sieve | | |
| Liquid Limit (%) | 58 | | |
| Plastic Limit (%) | 29 | | |
| Plasticity Index (%) | 29 | | |

| Linear Shrinkage (AS1289 3.4.1) | | Min | Max |
|----------------------------------|---------------|-----|-----|
| Moisture Condition Determined By | AS 1289.3.1.2 | | |
| Linear Shrinkage (%) | 11.0 | | |
| Cracking Crumbling Curling | Cracking | | |

Material Test Report

Report Number: P24967-93
Issue Number: 2 - This version supersedes all previous issues
Reissue Reason: BH no correction
Date Issued: 29/08/2024
Client: Hunter Civilab
 3/62 Sandringham Avenue, Thornton New South Wales 2322
Contact: Nathan Roberts
Project Number: P24967
Project Name: Geotechnical Consulting Services (THO)
Project Location: Neilson Street, Edgeworth, NSW - Stage 10 - Lots 1001-1012
Client Reference: G0651
Work Request: 16157
Sample Number: 24-16157K
Date Sampled: 12/08/2024
Dates Tested: 14/08/2024 - 20/08/2024
Sampling Method: Sampled by Engineering Department
The results apply to the sample as received
Site Selection: Selected by Client
Sample Location: BH10 Lot 1004, Depth: 0.8-1.0m



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Approved Signatory: Scott Picton
 Technician

NATA Accredited Laboratory Number: 14975

| Atterberg Limit (AS1289 3.1.2 & 3.2.1 & 3.3.1) | | Min | Max |
|--|------------|-----|-----|
| Sample History | Oven Dried | | |
| Preparation Method | Dry Sieve | | |
| Liquid Limit (%) | 79 | | |
| Plastic Limit (%) | 33 | | |
| Plasticity Index (%) | 46 | | |

| Linear Shrinkage (AS1289 3.4.1) | | Min | Max |
|----------------------------------|--------------------|-----|-----|
| Moisture Condition Determined By | AS 1289.3.1.2 | | |
| Linear Shrinkage (%) | 15.0 | | |
| Cracking Crumbling Curling | Cracking & Curling | | |

Material Test Report

Report Number: P24967-93
Issue Number: 2 - This version supersedes all previous issues
Reissue Reason: BH no correction
Date Issued: 29/08/2024
Client: Hunter Civilab
 3/62 Sandringham Avenue, Thornton New South Wales 2322
Contact: Nathan Roberts
Project Number: P24967
Project Name: Geotechnical Consulting Services (THO)
Project Location: Neilson Street, Edgeworth, NSW - Stage 10 - Lots 1001-1012
Client Reference: G0651
Work Request: 16157
Sample Number: 24-16157L
Date Sampled: 12/08/2024
Dates Tested: 14/08/2024 - 20/08/2024
Sampling Method: Sampled by Engineering Department
The results apply to the sample as received
Site Selection: Selected by Client
Sample Location: BH12 Lot 1006, Depth: 0.5-0.75m



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 Technician

NATA Accredited Laboratory Number: 14975

| Atterberg Limit (AS1289 3.1.2 & 3.2.1 & 3.3.1) | | Min | Max |
|--|------------|-----|-----|
| Sample History | Oven Dried | | |
| Preparation Method | Dry Sieve | | |
| Liquid Limit (%) | 55 | | |
| Plastic Limit (%) | 26 | | |
| Plasticity Index (%) | 29 | | |

| Linear Shrinkage (AS1289 3.4.1) | | Min | Max |
|----------------------------------|---------------|-----|-----|
| Moisture Condition Determined By | AS 1289.3.1.2 | | |
| Linear Shrinkage (%) | 6.5 | | |
| Cracking Crumbling Curling | Cracking | | |



Annex D

Foundation Maintenance and Footing Performance: A Homeowner's Guide



PUBLISHING
BTF 18-2011
 replaces
Information
Sheet 10/91

Buildings can and often do move. This movement can be up, down, lateral or rotational. The fundamental cause of movement in buildings can usually be related to one or more problems in the foundation soil. It is important for the homeowner to identify the soil type in order to ascertain the measures that should be put in place in order to ensure that problems in the foundation soil can be prevented, thus protecting against building movement.

This Building Technology File is designed to identify causes of soil-related building movement, and to suggest methods of prevention of resultant cracking in buildings.

Soil Types

The types of soils usually present under the topsoil in land zoned for residential buildings can be split into two approximate groups – granular and clay. Quite often, foundation soil is a mixture of both types. The general problems associated with soils having granular content are usually caused by erosion. Clay soils are subject to saturation and swell/shrink problems.

Classifications for a given area can generally be obtained by application to the local authority, but these are sometimes unreliable and if there is doubt, a geotechnical report should be commissioned. As most buildings suffering movement problems are founded on clay soils, there is an emphasis on classification of soils according to the amount of swell and shrinkage they experience with variations of water content. The table below is Table 2.1 from AS 2870-2011, the Residential Slab and Footing Code.

Causes of Movement

Settlement due to construction

There are two types of settlement that occur as a result of construction:

- Immediate settlement occurs when a building is first placed on its foundation soil, as a result of compaction of the soil under the weight of the structure. The cohesive quality of clay soil mitigates against this, but granular (particularly sandy) soil is susceptible.
- Consolidation settlement is a feature of clay soil and may take place because of the expulsion of moisture from the soil or because of the soil's lack of resistance to local compressive or shear stresses. This will usually take place during the first few months after construction, but has been known to take many years in exceptional cases.

These problems are the province of the builder and should be taken into consideration as part of the preparation of the site for construction. Building Technology File 19 (BTF 19) deals with these problems.

Erosion

All soils are prone to erosion, but sandy soil is particularly susceptible to being washed away. Even clay with a sand component of say 10% or more can suffer from erosion.

Saturation

This is particularly a problem in clay soils. Saturation creates a bog-like suspension of the soil that causes it to lose virtually all of its bearing capacity. To a lesser degree, sand is affected by saturation because saturated sand may undergo a reduction in volume, particularly imported sand fill for bedding and blinding layers. However, this usually occurs as immediate settlement and should normally be the province of the builder.

Seasonal swelling and shrinkage of soil

All clays react to the presence of water by slowly absorbing it, making the soil increase in volume (see table below). The degree of increase varies considerably between different clays, as does the degree of decrease during the subsequent drying out caused by fair weather periods. Because of the low absorption and expulsion rate, this phenomenon will not usually be noticeable unless there are prolonged rainy or dry periods, usually of weeks or months, depending on the land and soil characteristics.

The swelling of soil creates an upward force on the footings of the building, and shrinkage creates subsidence that takes away the support needed by the footing to retain equilibrium.

Shear failure

This phenomenon occurs when the foundation soil does not have sufficient strength to support the weight of the footing. There are two major post-construction causes:

- Significant load increase.
- Reduction of lateral support of the soil under the footing due to erosion or excavation.

In clay soil, shear failure can be caused by saturation of the soil adjacent to or under the footing.

GENERAL DEFINITIONS OF SITE CLASSES

| Class | Foundation |
|-------|---|
| A | Most sand and rock sites with little or no ground movement from moisture changes |
| S | Slightly reactive clay sites, which may experience only slight ground movement from moisture changes |
| M | Moderately reactive clay or silt sites, which may experience moderate ground movement from moisture changes |
| H1 | Highly reactive clay sites, which may experience high ground movement from moisture changes |
| H2 | Highly reactive clay sites, which may experience very high ground movement from moisture changes |
| E | Extremely reactive sites, which may experience extreme ground movement from moisture changes |

Notes

1. Where controlled fill has been used, the site may be classified A to E according to the type of fill used.
2. Filled sites. Class P is used for sites which include soft fills, such as clay or silt or loose sands; landslide; mine subsidence; collapsing soils; soil subject to erosion; reactive sites subject to abnormal moisture conditions or sites which cannot be classified otherwise.
3. Where deep-seated moisture changes exist on sites at depths of 3 m or greater, further classification is needed for Classes M to E (M-D, H1-D, H2-D and E-D).

Tree root growth

Trees and shrubs that are allowed to grow in the vicinity of footings can cause foundation soil movement in two ways:

- Roots that grow under footings may increase in cross-sectional size, exerting upward pressure on footings.
- Roots in the vicinity of footings will absorb much of the moisture in the foundation soil, causing shrinkage or subsidence.

Unevenness of Movement

The types of ground movement described above usually occur unevenly throughout the building's foundation soil. Settlement due to construction tends to be uneven because of:

- Differing compaction of foundation soil prior to construction.
- Differing moisture content of foundation soil prior to construction.

Movement due to non-construction causes is usually more uneven still. Erosion can undermine a footing that traverses the flow or can create the conditions for shear failure by eroding soil adjacent to a footing that runs in the same direction as the flow.

Saturation of clay foundation soil may occur where subfloor walls create a dam that makes water pond. It can also occur wherever there is a source of water near footings in clay soil. This leads to a severe reduction in the strength of the soil which may create local shear failure.

Seasonal swelling and shrinkage of clay soil affects the perimeter of the building first, then gradually spreads to the interior. The swelling process will usually begin at the uphill extreme of the building, or on the weather side where the land is flat. Swelling gradually reaches the interior soil as absorption continues. Shrinkage usually begins where the sun's heat is greatest.

Effects of Uneven Soil Movement on Structures

Erosion and saturation

Erosion removes the support from under footings, tending to create subsidence of the part of the structure under which it occurs. Brickwork walls will resist the stress created by this removal of support by bridging the gap or cantilevering until the bricks or the mortar bedding fail. Older masonry has little resistance. Evidence of failure varies according to circumstances and symptoms may include:

- Step cracking in the mortar beds in the body of the wall or above/below openings such as doors or windows.
- Vertical cracking in the bricks (usually but not necessarily in line with the vertical beds or perpend).

Isolated piers affected by erosion or saturation of foundations will eventually lose contact with the bearers they support and may tilt or fall over. The floors that have lost this support will become bouncy, sometimes rattling ornaments etc.

Seasonal swelling/shrinkage in clay

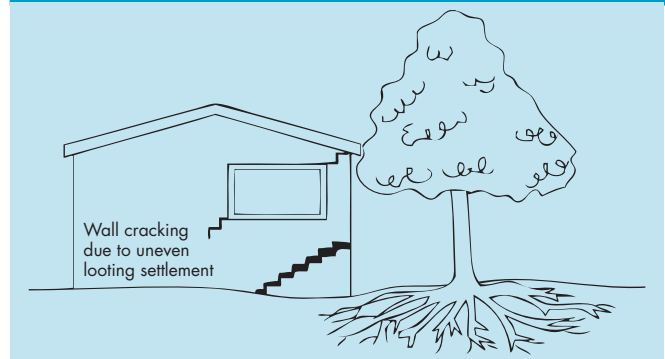
Swelling foundation soil due to rainy periods first lifts the most exposed extremities of the footing system, then the remainder of the perimeter footings while gradually permeating inside the building footprint to lift internal footings. This swelling first tends to create a dish effect, because the external footings are pushed higher than the internal ones.

The first noticeable symptom may be that the floor appears slightly dished. This is often accompanied by some doors binding on the floor or the door head, together with some cracking of cornice mitres. In buildings with timber flooring supported by bearers and joists, the floor can be bouncy. Externally there may be visible dishing of the hip or ridge lines.

As the moisture absorption process completes its journey to the innermost areas of the building, the internal footings will rise. If the spread of moisture is roughly even, it may be that the symptoms will temporarily disappear, but it is more likely that swelling will be uneven, creating a difference rather than a disappearance in symptoms. In buildings with timber flooring supported by bearers and joists, the isolated piers will rise more easily than the strip footings or piers under walls, creating noticeable doming of flooring.

As the weather pattern changes and the soil begins to dry out, the external footings will be first affected, beginning with the locations where the sun's effect is strongest. This has the effect of lowering the

Trees can cause shrinkage and damage



external footings. The doming is accentuated and cracking reduces or disappears where it occurred because of dishing, but other cracks open up. The roof lines may become convex.

Doming and dishing are also affected by weather in other ways. In areas where warm, wet summers and cooler dry winters prevail, water migration tends to be toward the interior and doming will be accentuated, whereas where summers are dry and winters are cold and wet, migration tends to be toward the exterior and the underlying propensity is toward dishing.

Movement caused by tree roots

In general, growing roots will exert an upward pressure on footings, whereas soil subject to drying because of tree or shrub roots will tend to remove support from under footings by inducing shrinkage.

Complications caused by the structure itself

Most forces that the soil causes to be exerted on structures are vertical – i.e. either up or down. However, because these forces are seldom spread evenly around the footings, and because the building resists uneven movement because of its rigidity, forces are exerted from one part of the building to another. The net result of all these forces is usually rotational. This resultant force often complicates the diagnosis because the visible symptoms do not simply reflect the original cause. A common symptom is binding of doors on the vertical member of the frame.

Effects on full masonry structures

Brickwork will resist cracking where it can. It will attempt to span areas that lose support because of subsided foundations or raised points. It is therefore usual to see cracking at weak points, such as openings for windows or doors.

In the event of construction settlement, cracking will usually remain unchanged after the process of settlement has ceased.

With local shear or erosion, cracking will usually continue to develop until the original cause has been remedied, or until the subsidence has completely neutralised the affected portion of footing and the structure has stabilised on other footings that remain effective.

In the case of swell/shrink effects, the brickwork will in some cases return to its original position after completion of a cycle, however it is more likely that the rotational effect will not be exactly reversed, and it is also usual that brickwork will settle in its new position and will resist the forces trying to return it to its original position. This means that in a case where swelling takes place after construction and cracking occurs, the cracking is likely to at least partly remain after the shrink segment of the cycle is complete. Thus, each time the cycle is repeated, the likelihood is that the cracking will become wider until the sections of brickwork become virtually independent.

With repeated cycles, once the cracking is established, if there is no other complication, it is normal for the incidence of cracking to stabilise, as the building has the articulation it needs to cope with the problem. This is by no means always the case, however, and monitoring of cracks in walls and floors should always be treated seriously.

Upheaval caused by growth of tree roots under footings is not a simple vertical shear stress. There is a tendency for the root to also exert lateral forces that attempt to separate sections of brickwork after initial cracking has occurred.

The normal structural arrangement is that the inner leaf of brickwork in the external walls and at least some of the internal walls (depending on the roof type) comprise the load-bearing structure on which any upper floors, ceilings and the roof are supported. In these cases, it is internally visible cracking that should be the main focus of attention, however there are a few examples of dwellings whose external leaf of masonry plays some supporting role, so this should be checked if there is any doubt. In any case, externally visible cracking is important as a guide to stresses on the structure generally, and it should also be remembered that the external walls must be capable of supporting themselves.

Effects on framed structures

Timber or steel framed buildings are less likely to exhibit cracking due to swell/shrink than masonry buildings because of their flexibility. Also, the doming/dishing effects tend to be lower because of the lighter weight of walls. The main risks to framed buildings are encountered because of the isolated pier footings used under walls. Where erosion or saturation causes a footing to fall away, this can double the span which a wall must bridge. This additional stress can create cracking in wall linings, particularly where there is a weak point in the structure caused by a door or window opening. It is, however, unlikely that framed structures will be so stressed as to suffer serious damage without first exhibiting some or all of the above symptoms for a considerable period. The same warning period should apply in the case of upheaval. It should be noted, however, that where framed buildings are supported by strip footings there is only one leaf of brickwork and therefore the externally visible walls are the supporting structure for the building. In this case, the subfloor masonry walls can be expected to behave as full brickwork walls.

Effects on brick veneer structures

Because the load-bearing structure of a brick veneer building is the frame that makes up the interior leaf of the external walls plus perhaps the internal walls, depending on the type of roof, the building can be expected to behave as a framed structure, except that the external masonry will behave in a similar way to the external leaf of a full masonry structure.

Water Service and Drainage

Where a water service pipe, a sewer or stormwater drainage pipe is in the vicinity of a building, a water leak can cause erosion, swelling or saturation of susceptible soil. Even a minuscule leak can be enough to saturate a clay foundation. A leaking tap near a building can have the same effect. In addition, trenches containing pipes can become watercourses even though backfilled, particularly where broken rubble is used as fill. Water that runs along these trenches can be responsible for serious erosion, interstrata seepage into subfloor areas and saturation.

Pipe leakage and trench water flows also encourage tree and shrub roots to the source of water, complicating and exacerbating the problem. Poor roof plumbing can result in large volumes of rainwater being concentrated in a small area of soil:

- Incorrect falls in roof guttering may result in overflows, as may gutters blocked with leaves etc.

- Corroded guttering or downpipes can spill water to ground.
- Downpipes not positively connected to a proper stormwater collection system will direct a concentration of water to soil that is directly adjacent to footings, sometimes causing large-scale problems such as erosion, saturation and migration of water under the building.

Seriousness of Cracking

In general, most cracking found in masonry walls is a cosmetic nuisance only and can be kept in repair or even ignored. The table below is a reproduction of Table C1 of AS 2870-2011.

AS 2870-2011 also publishes figures relating to cracking in concrete floors, however because wall cracking will usually reach the critical point significantly earlier than cracking in slabs, this table is not reproduced here.

Prevention/Cure

Plumbing

Where building movement is caused by water service, roof plumbing, sewer or stormwater failure, the remedy is to repair the problem. It is prudent, however, to consider also rerouting pipes away from the building where possible, and relocating taps to positions where any leakage will not direct water to the building vicinity. Even where gully traps are present, there is sometimes sufficient spill to create erosion or saturation, particularly in modern installations using smaller diameter PVC fixtures. Indeed, some gully traps are not situated directly under the taps that are installed to charge them, with the result that water from the tap may enter the backfilled trench that houses the sewer piping. If the trench has been poorly backfilled, the water will either pond or flow along the bottom of the trench. As these trenches usually run alongside the footings and can be at a similar depth, it is not hard to see how any water that is thus directed into a trench can easily affect the foundation's ability to support footings or even gain entry to the subfloor area.

Ground drainage

In all soils there is the capacity for water to travel on the surface and below it. Surface water flows can be established by inspection during and after heavy or prolonged rain. If necessary, a grated drain system connected to the stormwater collection system is usually an easy solution.

It is, however, sometimes necessary when attempting to prevent water migration that testing be carried out to establish watertable height and subsoil water flows. This subject is referred to in BTF 19 and may properly be regarded as an area for an expert consultant.

Protection of the building perimeter

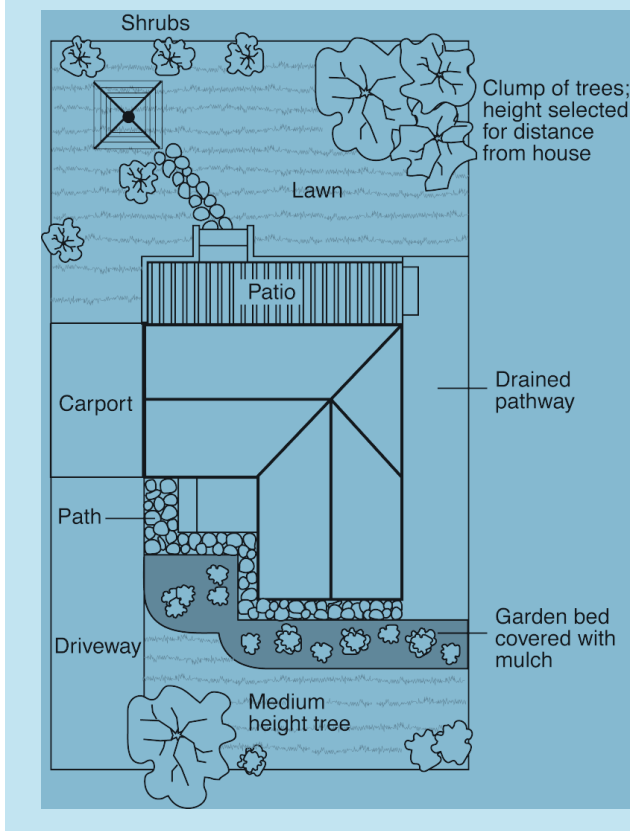
It is essential to remember that the soil that affects footings extends well beyond the actual building line. Watering of garden plants, shrubs and trees causes some of the most serious water problems.

For this reason, particularly where problems exist or are likely to occur, it is recommended that an apron of paving be installed around as much of the building perimeter as necessary. This paving should

CLASSIFICATION OF DAMAGE WITH REFERENCE TO WALLS

| Description of typical damage and required repair | Approximate crack width limit (see Note 3) | Damage category |
|--|---|-----------------|
| Hairline cracks | <0.1 mm | 0 |
| Fine cracks which do not need repair | <1 mm | 1 |
| Cracks noticeable but easily filled. Doors and windows stick slightly. | <5 mm | 2 |
| Cracks can be repaired and possibly a small amount of wall will need to be replaced. Doors and windows stick. Service pipes can fracture. Weathertightness often impaired. | 5–15 mm (or a number of cracks 3 mm or more in one group) | 3 |
| Extensive repair work involving breaking-out and replacing sections of walls, especially over doors and windows. Window and door frames distort. Walls lean or bulge noticeably, some loss of bearing in beams. Service pipes disrupted. | 15–25 mm but also depends on number of cracks | 4 |

Gardens for a reactive site



extend outwards a minimum of 900 mm (more in highly reactive soil) and should have a minimum fall away from the building of 1:60. The finished paving should be no less than 100 mm below brick vent bases.

It is prudent to relocate drainage pipes away from this paving, if possible, to avoid complications from future leakage. If this is not practical, earthenware pipes should be replaced by PVC and backfilling should be of the same soil type as the surrounding soil and compacted to the same density.

Except in areas where freezing of water is an issue, it is wise to remove taps in the building area and relocate them well away from the building – preferably not uphill from it (see BTF 19).

It may be desirable to install a grated drain at the outside edge of the paving on the uphill side of the building. If subsoil drainage is needed this can be installed under the surface drain.

Condensation

In buildings with a subfloor void such as where bearers and joists support flooring, insufficient ventilation creates ideal conditions for condensation, particularly where there is little clearance between the floor and the ground. Condensation adds to the moisture already present in the subfloor and significantly slows the process of drying out. Installation of an adequate subfloor ventilation system, either natural or mechanical, is desirable.

Warning: Although this Building Technology File deals with cracking in buildings, it should be said that subfloor moisture can result in the development of other problems, notably:

- Water that is transmitted into masonry, metal or timber building elements causes damage and/or decay to those elements.
- High subfloor humidity and moisture content create an ideal environment for various pests, including termites and spiders.
- Where high moisture levels are transmitted to the flooring and walls, an increase in the dust mite count can ensue within the living areas. Dust mites, as well as dampness in general, can be a health hazard to inhabitants, particularly those who are abnormally susceptible to respiratory ailments.

The garden

The ideal vegetation layout is to have lawn or plants that require only light watering immediately adjacent to the drainage or paving edge, then more demanding plants, shrubs and trees spread out in that order.

Overwatering due to misuse of automatic watering systems is a common cause of saturation and water migration under footings. If it is necessary to use these systems, it is important to remove garden beds to a completely safe distance from buildings.

Existing trees

Where a tree is causing a problem of soil drying or there is the existence or threat of upheaval of footings, if the offending roots are subsidiary and their removal will not significantly damage the tree, they should be severed and a concrete or metal barrier placed vertically in the soil to prevent future root growth in the direction of the building. If it is not possible to remove the relevant roots without damage to the tree, an application to remove the tree should be made to the local authority. A prudent plan is to transplant likely offenders before they become a problem.

Information on trees, plants and shrubs

State departments overseeing agriculture can give information regarding root patterns, volume of water needed and safe distance from buildings of most species. Botanic gardens are also sources of information. For information on plant roots and drains, see Building Technology File 17.

Excavation

Excavation around footings must be properly engineered. Soil supporting footings can only be safely excavated at an angle that allows the soil under the footing to remain stable. This angle is called the angle of repose (or friction) and varies significantly between soil types and conditions. Removal of soil within the angle of repose will cause subsidence.

Remediation

Where erosion has occurred that has washed away soil adjacent to footings, soil of the same classification should be introduced and compacted to the same density. Where footings have been undermined, augmentation or other specialist work may be required. Remediation of footings and foundations is generally the realm of a specialist consultant.

Where isolated footings rise and fall because of swell/shrink effect, the homeowner may be tempted to alleviate floor bounce by filling the gap that has appeared between the bearer and the pier with blocking. The danger here is that when the next swell segment of the cycle occurs, the extra blocking will push the floor up into an accentuated dome and may also cause local shear failure in the soil. If it is necessary to use blocking, it should be by a pair of fine wedges and monitoring should be carried out fortnightly.

This BTF was prepared by John Lewer FAIB, MIAMA, Partner, Construction Diagnosis.

The information in this and other issues in the series was derived from various sources and was believed to be correct when published.

The information is advisory. It is provided in good faith and not claimed to be an exhaustive treatment of the relevant subject.

Further professional advice needs to be obtained before taking any action based on the information provided.

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